10/048120

531 Rec'd PUT/FT

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

Raffaele MORRONE et al.

Appl. No.:

Group:

Filed:

January 28, 2002

Examiner:

For:

USE OF ORTHOESTERS FOR THE SYNTHESIS OF CHIRAL ACIDS IN BIOCATALYZED IRREVERSIBLE

ESTERIFICATION PROCESSES

INFORMATION DISCLOSURE STATEMENT
(SUBMISSION CONCURRENT WITH THE
FILING OF A NEW PATENT APPLICATION)

Assistant Commissioner for Patents Washington, DC 20231

January 28, 2002

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, and in fulfillment of the duty of disclosure under 37 C.F.R. § 1.56, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should have been forwarded from the International Search Authority pursuant to the trilateral agreement between the USPTO, EPO and JPO. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

a. DOCUMENTS IN THE ENGLISH LANGUAGE

The attached patents, publications, or other information in the English language do not require a statement of relevancy.

Docket No. 2503-1003 531 Rec'dPUT/FT 28 JAN 2002

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b.	l i	DOCUMENTS	NOT	ΤN	THE	FIGHTSU	TWINGOWGE

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

c. X FOREIGN SEARCH REPORT OR ACTION

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

d. OTHER

The following additional information is provided for the Examiner's consideration.

FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If The Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned.

Respectfully submitted,

YOUNG & THOMPSON

Bound Castel

Benoit Castel, Reg. No. 35,041

745 South 23rd Street Arlington, VA 22202 Telephone (703) 521-2297

BC/ia

Enclosures:

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□ Documents

____Other:____

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531 Rec'd PCT/PT 28 JAN 2002

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Attorney Docket No.: **2503-1003**

Application No.: NEWO / 048120

Applicant:

Raffaele MORRONE et al.

(Use several sheets if necessary) Filing

Filing Date:
January 28, 2002

Group Art Unit:

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^{*} Abstract provided for the Examiner's convenience